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PTO/SB/21 (09-04)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/659,734
Filing Date	September 9, 2003
First Named Inventor	Antoni Kozlowski
Art Unit	1711
Examiner Name	Duc Truong
Attorney Docket Number	6800-0073

Total Number of Pages in This Submission	6
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## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement IDS (2 pages) PTO/SB/08A & B Lists (2 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard (1 page) 2 Cited References (pages not counted)		
<table><tr><td>Remarks</td><td>The Commissioner is authorized to charge any additional fees to Deposit Account 18-1648.</td></tr></table>			Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 18-1648.
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Robins & Pasternak LLP		
Signature	<i>Susan T. Evans</i>		
Printed name	Susan T. Evans		
Date	<i>June 16, 2005</i>	Reg. No.	38,443

## CERTIFICATE OF TRANSMISSION/MAILING

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Signature	<i>Anne Currier Carr</i>		
Typed or printed name	Anne Currier Carr	Date	<i>6/16/05</i>



Attorney Docket No. 6800-0073  
PATENT

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By: \_\_\_\_\_

Name ANNE CURRIER CARR

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

ANTONI KOZLOWSKI

Examiner: Duc Truong

Application No.: 10/659,734

Art Unit: 1711

Filed: September 9, 2003

Confirmation No.: 3893

For: WATER-SOLUBLE POLYMER ALKANALS

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37  
C.F.R. §§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copy of the publication \_\_\_\_\_ listed on the attached Form PTO/SB/08A are being provided because the Office waives the requirement under 37 C.F.R. 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U. S. patent application publication for all U.S. national patent applications filed after June 30, 2003.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.

- ☐ Publication(s) \_\_\_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_\_\_ application serial no. \_\_\_\_\_ and mailed on \_\_\_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_\_ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. \_\_\_\_\_.

**This Information Disclosure Statement is filed within any one of the following time periods:**

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ **before the mailing date of a first office action on the merits; or**
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: June 16, 2005

Respectfully submitted,

Robins & Pasternak LLP  
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Palo Alto, CA 94303  
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By:

Susan T. Evans  
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Reg. No. 38,443



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/659,734
		Filing Date	September 9, 2003
		First Named Inventor	Antoni Kozlowski
		Art Unit	1711
		Examiner Name	Duc Truong
Sheet 1	of 2	Attorney Docket Number	6800-0073

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	2004/0127417 A1	07/01/2004	Finn	
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FOREIGN PATENT DOCUMENTS								
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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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				<i>Application Number</i>	10/659,734
				<i>Filing Date</i>	September 9, 2003
				<i>First Named Inventor</i>	Antoni Kozlowski
				<i>Art Unit</i>	1711
				<i>Examiner Name</i>	Duc Truong
Sheet	2	of	2	<i>Attorney Docket Number</i>	6800-0073

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Shearwater Corporation "Catalog 2001 - Polyethylene Glycol and Derivatives for Biomedical Applications," 20 pages.	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.